## How basic is the notion of alternative?

# A diachronic typology of disjunction

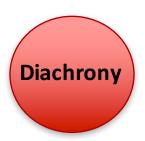
Caterina Mauri – University of Bologna

caterina.mauri@unibo.it

### Aims and sample



Examination of the **cross-linguistic coding** of disjunction



Identification of the recurrent **sources of grammaticalization** that lead to the development of disjunctive constructions



Data has been collected by means of descriptive grammars and questionnaires from a convenience sample of **130 languages**.

## Parameters of analysis

- ✓ For cross-linguistic variation:
- **1. PRESENCE vs. ABSENCE** of overt markers specifically encoding the relation of alternative (*syndesis* vs. *asyndesis*): **is there a disjunctive connective?**
- 2. SEMANTIC DOMAIN of the attested markers: may the connective be used in all the contexts where we would have English or? More functions? Less functions? What functions (based on grammars...)?

✓ For diachronic variation:

**LEXICAL SOURCE** for the disjunctive construction – not enough information in grammars on the contexts of language change

#### Chierchia et al. 2001:

the interpretation of disjunction is governed by the same rules underlying the distribution of negative polarity items, such as *any* (i.e. *or* is interpreted inclusively in downward entailing contexts)

→ the principles governing the correct interpretation of a disjunctive relation are *innate* and are *part of the UG*.

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#### Crain (2008: 151):

"children draw upon a priori knowledge of the meaning of 'or'. This conclusion is reinforced by the observation that all languages adopt the same meaning of 'or' in certain structures."

#### Crain (2008: 151):

The ability to recognize the inclusive value of *or* is a "linguistic property that (a) emerges in child language without decisive evidence from experience, and (b) is common to all human languages", and it is therefore "a likely candidate for **innate specification**."

"[...] why do children adopt the logical meaning of disjunction, inclusive-or, given that the majority of their experience directs them towards a different meaning of disjunction, namely an exclusive-or reading? [...] children's knowledge that disjunction is inclusive-or comes from universal grammar." (Crain 2008: 2-3)

- **⇒** TWO ASSUMPTIONS:
- ✓ The exclusive vs. inclusive distinction is relevant to natural languages
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- ✓ The notion of inclusive-or is **innate** and **universal**.

#### What may cross-linguistic variation tell us?

## We have some expectations...

- ✓ All languages have a disjunctive connective
- ✓ The inclusive vs. exclusive distinction is relevant for speakers and will therefore have linguistic reflections in the world's languages

## A glance at the world's languages

Payne (1985: 40)

"On the whole [...] it is rare to find anything unusual in disjunction. The majority of languages appear to possess at least one unequivocal strategy and this is invariably permitted at sentential and at phrasal levels."

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Actually, the picture seems more complicated than that...

## Some significant quotes

a) Kibrik (2004: 547-48) on Kuskokwim Athabaskan (Athabaskan, Alaska):

"there does not seem to exist any native way to express disjunction.[...] one of the UKA consultants said, after my repeated attempts to get him to translate a sentence such as *Do you want tea or coffee?*: "They did not offer you a choice in the old days""

b) Press (1975: 145, 167) on Chemehuevi (Uto-Aztecan, USA - California):

"I have been unable to obtain any obvious alternative questions in Chemehuevi (or alternative statements for that matter). In order to ask something like "Is he here or there?" in Chemehuevi, one simply asks two Yes-No questions in succession" [...] "Disjunctive coordination is even more restricted in Chemehuevi. The following examples illustrate available ways to get around thee lack of any syntactic or morphological "or" [...]"

## Some significant quotes

c) Kimball (1985: 450) on Koasati (Muskogean, USA - Georgia):

"Certain conjunctive ideas, such as 'but," because, 'and 'if' are handled by means of the verbal suffixes in the Consequence slot [...]. On the other hand the idea of 'or' is most generally indicated by putting the verbs between which there is a choice together in **apposition**."

d) Post (2008: 790) on Galo (Tibeto-Burman, India):

"Disjunctive coordination of declarative clauses is **not well-coded** by Galo grammar, and generally requires a paraphrastic construction involving a linking clause with a sense like '**if that is not the case, then**'".

## Some significant quotes

Ohori (2004: 56-59): AND and OR, the two basic logical connectives in formal logic, can sometimes be underdifferentiated in natural languages:

- 3) Upriver Halkomelem (Salish, Ohori 2004: 57, Galloway 1993: 363) The declarative construction seems to allow a conjunctive reading in a), and the interrogative construction a disjunctive reading in b).
- a) Lá lamálstaxwas ta Bill ta sq'ámál xwalém ta Jim **qa** Bob. 3 throw.3 DEM Bill DEM paddle to DEM Jim **and** Bob. 'Bill threw the paddle to Jim and Bob.'
- b) Lí lém k'wə Bill qə Bob?

  Q go DEM Bill or Bob
  'Did Bill or Bob go?'

"apposition", "not well coded"

"no native way to express disjunction"...

...means languages without OR!

"apposition", "not well coded"

"no native way to express disjunction"...

...means languages without OR!

... How can an alternative between states of affairs, properties or entities be conveyed

WITHOUT

a disjunctive connective?

#### Plan

- 1. Languages without OR
- 2. Languages with different ORs
- 3. Diachronic sources out of which ORs develop
- 4. Semantic domains relevant to disjunction

4) Wari', Chapacura-Wanam (Everett and Kern 1997: 162)

```
ta
                     pa' ta'
                                             hwam ca,
                                                                        ta
mo
                                                                mo
       realis.future kill 1sg:realis.future fish
                                                      3sg.M
                                                                         realis.future
COND
                                                                COND
     ta'
                       carawa
Da
                                 ca
kill
     1sg:realis.future animal 3sg.M
'He will fish or he will hunt.' (lit. 'if he (says) "I will kill fish", if he (says) "I will kill
animals".')
'am
                                      mi'
                                                pin
                 ca
                         'am
                                                           ca
perhaps/live
                 3sg.M perhaps/
                                      give
                                                complete 3sg.м
'Either he will live or he will die.' (lit.'perhaps he will live, perhaps he will die')
```

5) Wari', Chapacura-Wanam (Everett and Kern 1997: 162)

```
hwam ca,
        ta
                     pa' ta'
                                                                      ta
mo
                                                              mo
       realis.future kill 1sg:realis.future fish
                                                                      realis.future
COND
                                                    3sg.M
                                                              COND
     ta'
                      carawa
DÜ
                                ca
     1sg:realis.future animal 3sg.M
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                 ca
                                               pin
                        'am
                                                          ca
perhaps/live
                 3sg.M perhaps
                                     give
                                               complete 3sg.м
'Either he will live or he will die.' (lit.'perhaps he will live, perhaps he will die')
```

6) Hup (Vaupés Japurá, Epps 2005: 683)

wĭh cím'-íy=cud **?ûhníy**, yaʔambŏʔ g'áç-'áy=cud hawk claw-DYNM=INFR maybe dog bite-DYNM=INFR maybe 'Either the hawk clawed (it), or the dog bit (it), apparently.'

7) Aranda (Australian, Pama-Nyungan; Wilkins 1989: 385-86)

'The particle (a)peke 'maybe, might; if; or' has a wide range of related used. Common to all its uses is the sense that the speaker is saying that some proposition is possibly the case. It therefore commonly translates as 'might' or 'maybe' [...] peke 'maybe' can also be used to signal disjunction between co-ordinated elements.'

```
Kere nyente peke-rle kwele re atwe-ke peke are-ke peke animal one maybe-FOC QUOT 3sgA kill-pc maybe, see-pc maybe kwele; arrangkwe.
```

QUOT nothing

'Perhaps there was supposedly one animal that he killed or saw; no, nothing at all.

8) Galo (Post 2008: 312)

Disjunctive coordination [...] is best-attested in *uncertain* and/or *interrogative* moods. The two NPs *jakàa=go* 'black=IND' 'black one' and *japúu=go* 'white=IND' 'white one' are each marked by **Conjectural** particle *bəree*.

```
    aáə jakâa gò bərè japúu gó bərè?
    aáə [jakàa=go]NP bəree [japúu=go]NP bəree
    HDST.SLEV black=IND CJEC white=IND CJEC
    'Over there, (is it) a black one or a white one (I can't make it out)?' (MN, 22:155)
```

1

Absence of a disjunctive marker



Presence of some irrealis marker

1

Absence of a disjunctive marker



Presence of some irrealis marker

- ✓ A proposition is said to be **REALIS** when it asserts that a SoA is an 'actualized and certain fact of reality' (Elliot 2000: 66-67).
- ✓ A proposition is said to be IRREALIS when 'it implies that a SoA belongs to the realm of the imagined or hypothetical, and as such it constitutes a potential or possible event but it is not an observable fact of reality' (Elliot 2000: 66-67).

Irrealis propositions belong to the domains of imagination, possibility, wish, interrogation, necessity, obligation and so on, in which a given SoA is presented as not having taken place, or where the speaker is not sure about its occurrence

- 9) a) **Perhaps** the hawk clawed it, **maybe** the dog bit it (apparently). (irrealis coded, alternative inferred)
  - b) The hawk clawed it **or** the dog bit it (apparently). (alternative coded, irrealis implied)
  - c) **Perhaps** the hawk clawed it **or maybe** the dog bit it (apparently). (alternative coded, irrealis coded)
  - d) The hawk clawed it, the dog bit it (apparently).
     (irrealis and alternative not coded) → possible interpretations: sequence of actions, simultaneity, opposition, ??alternative??
  - ➤ If neither a disjunctive connective nor some irrealis marking occurs (12d), it is difficult to infer an alternative reading!

- ✓ In the absence of an *or* connective, the irrealis, potential status of alternatives must be overtly signalled
- → Two alternatives are conceptualized as **equivalent**, **mutually replaceable possibilities**
- → Until a choice is made or the speaker comes to know which hypothesis is realized, either alternative could be the non-occurring one and therefore both are **conceptualized as irrealis**.
- ✓ We are in the realm of EPISTEMIC MODALITY → Zimmermann 2001, Geurts 2005

•••

what happens in languages
WITH

more than one overt disjunctive connective?

#### Different ORs

10) Marathi, Indo-Iranian, Indo-European (Pandharipande 1997: 162–163)

a. madhū āītSyā śuśruṣesāṭhī suṭṭī gheīl Kĩwā /\*kī

Madhu mother:GEN looking.after.for leave take:FUT:3sg

tilā hɔspiṭalmadhe ṭthewīl

3sg.ACC hospital:in keep:FUT:3sg

'Madhu will leave to take care of his mother or keep her in the hospital.'

b. to bādzārāt gelā **kī/\***kĩwā gharī gelā?

3sg market.LOC go:PST:3sg.M **ALTNc** home:LOC go:PST:3sg.M 'Did he go to the market or did he go home?'

#### Different ORs, different aims

2

There are languages showing different strategies depending on the **AIM** of the speaker in establishing the alternative relation (see Mauri 2008b: 155-161)

#### Simple alternative:

an alternative relation may be established in order to present two states of affairs, properties or entities as equivalent and replaceable possibilities, without the need for any choice

#### **Choice-aimed alternative:**

an alternative relation may be established in order to elicit a choice between equivalent and replaceable possibilities, typically in interrogative sentences

#### Different ORs, different aims

- 11) Polish (Agnieszka Latos, p.c.)
- a. Zazwyczaj piszę **lub** czytam aż do późna usually write.PRS.1sg **ALTNs** read.PRS.1sg until to late 'Usually I write or I read until late.'

#### SIMPLE ALTERNATIVE

b. Idziemy jutro do szkoły czy zostajemy w domu? go.PRS.1pl tomorrow to school ALTNc stay.PRS.1pl at home 'Do we go to school tomorrow or do we stay at home?'

**CHOICE-AIMED ALTERNATIVE** 

#### Different ORs, different aims

#### Dik (1968: 276)

> similar distinction in terms of manner.

He argues that the manner in which the alternative is presented determines a basic distinction that languages seem to encode: namely, the alternative relation can be 'either A or B' or 'either A or B, which one?'.

#### Haspelmath (2008: 25-27)

> standard and interrogative disjunction for the simple and the choice-aimed alternative, respectively (see discussion on terminology in Mauri 2008a).

3

Certain languages show specific connectives depending on the **EXHAUSTIVITY** of the set

- ➤ Non-exhaustive connectives lead to an exemplification function
- In non-exhaustive sets, the distinction between conjunction and disjunction is in many cases neutralized!

Haspelmath (2007: 24): 'representative conjunction'.

According to him, in these cases "the conjuncts are taken as representative examples of a potentially larger class".

**Stassen (2000: 5):** 'enumerative coordinators'. The label 'non-exhaustive' is well established in the literature on East Asian languages (Chino 2001, Zhang 2008).

12) Japanese (Barotto 2016)

#### a. Exhaustive alternative (ka)

Kōho-wa Ohashi-ka Taniguchi to omotteiru. Candidate-тор Ohashi-ка Taniguchi от think:sта "(we) are thinking about **Ohashi or Taniguchi** as a cadidate."

#### b. Non-exhaustive alternative (ya + nado) - EXEMPLIFICATION

Papurika-o pīman-ya asuparagasu nado ni kaeru to, paprika-ACC bell pepper-ya asparagus NADO DAT change if, samazamana arenji-ga kanō.

varied arrangement-NOM possible

"if you replace paprika with things like asparagus or bell pepper, a variety of arrangements is possible." → \*-ka

- 13) Japanese (Chino 2001: 41)
- a. Non-exhaustive conjunction (ya + nado) EXEMPLIFICATION

Watashi no heya ni wa,[konpyūtā ya sutereo ga] oite arimasu.

I DET room in TOP computer and stereo SBJ place-SUSP be-POL.NPST 'In my room there is a computer, a stereo AND OTHER SIMILAR THINGS.'  $\rightarrow$  \* -to

#### b. Non-exhaustive alternatives (-tari) - EXEMPLIFICATION

Nichiyōbi wa taitei tomodachi to tenisu [o shi-tari eiga o mi ni it-tari]
Sunday TOP usually friend with tennis OB do-tari film OBJ see to go-tari shimasu
do.POL.NPST

'On Sundays I usually play tennis with my friends **or** go to see movies, <u>OR SOMETHING</u> SIMILAR.'  $\rightarrow$  \*-ka

#### 14) Italian (NUNC Corpus)

```
c'e' il vantaggio che ti puoi customizzare
there.is DEF advantage that CLIT can.2sg customize
                                                    DEF
                                                     esigenze
macchina come
               vuoi,
                          in relazione alle tue
                 want.2sg in relation to.DEF your.PL
machine as
                                                      need.pi
(grafica, piuttosto che sviluppo,
                                    piuttosto che
                                                     giochi...)
graphics piuttosto che development
                                    piuttosto che
                                                    games
```

'[talking about desktop] there is the advantage that you may customize the machine (pc) as you prefer, depending on your needs (graphics, development, videogames or SIMILAR THINGS...')

NON-EXHAUSTIVE SET OF ALTERNATIVES

#### Synchronic analysis

#### Summing up...

- I. There are languages without an overt OR
- II. In languages lacking an overt OR, the use of irrealis markers is obligatory, in order to convey alternative, and this confirms the close connection between disjunction and the non-factuality domain
- III. We find many languages having more than one OR
- IV. The distribution of different ORs is not accounted for by the distinction between inclusive vs. exclusive, but rather by the need for a choice (direct and indirect interrogative) and the (non-)exhaustivity of the set.

## Diachronic analysis

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A set of recurrent sources for disjunctive markers can be identified:

- (1) dubitative/hypothetical>alternative
- (2) negated hypothesis > alternative
- (3) negation > alternative
- (4) polar question > choice-aimed alternative
- (5) free choice verbs > alternative
- (6) distal meaning 'that/other' > alternative

# Dubitative/hypothetical > disjunctive

15) Kuuk Thaayorre (Pama-Nyungan, Gaby 2006: 323-324) The dubitative particle is regularly used to convey alternative and is on the way to acquiring the functional properties of connectives.

a) yup=**okun** ngay yan Waar.Paant-ak soon=DUB 1sg.NOM go:NPST place.name-DAT 'maybe later I'll go out to Waar-Paant'

- b) ngul=okun kunk=okun pul watp=okun pul then=DUB alive= DUB 3du.NOM dead= DUB 3du.NOM '(I don't know whether) they two are alive or dead.'
- c) nhunt wanthanngun nhiinan, Cairns=okun,
  2sg. NOM where.Loc sit:GO:NPST Cairns= DUB
  'where are you going to live, Cairns or Melbourne?'

Melbourne=okun
Melbourne= DUB

## Negated hypothesis > disjunction

16) Hakha Lai, Tibeto-Burman (Peterson and VanBik 2004: 339)

-làw-leè < negation -làw and the ancient conditional suffix -leè.

At present, Hakha Lai uses a new form for the conditional construction, and this quite complicated way of expressing an alternative relation is on the way to grammaticalization as a disjunctive connective.

làwthlawpaa falaám 'a-kal-**làw-leè** haàkhaà-'a' 'a-'ùm farmer Falam 3sg.SBJ-go-NEG-COND Hakha-LOC 3sg.SBJ-exist 'The farmer goes to Falam or he stays in Hakha.' (lit. 'The farmer, if he doesn't go to Falam, he stays in Hakha')

# Negated hypothesis > disjunction

17) Cavineña (Tacanan, Guillaume 2004: 114)

'Disjunction in Cavineña is normally realised by the word *jadyaamajuatsu* 'or' which comes from the lexicalisation of the same subject temporal clause *jadya=ama ju-atsu* 'thus=NEG be-SS' (lit. being not thus).

It may be shortened to jadyamajuatsu, jadyamaatsu or even amaatsu.'

Tuekedya =pa ekanas tere-ya kwejipa=eke **jadyaamajuatsu** e-tiki=eke then =REP 3PL finish-IMPFV strong.wind=PERL or NPF-fire=PERL '(When the world was new, our ancestors) would die (lit. finish) from the strong winds or from the fire.'

## Negation > disjunction

18) Galo (Post 2008: 312-13, 789)

'máa 'DSJ' is homophonous with the Copula negator/Negative interjection máa 'NEG', and probably derives from the latter historically' (2008: 312).

'In the main a Negative polarity particle, and basically homophonous with the Negative polarity predicate suffix  $-m\acute{a}a$  (§4659H12.2) and the Negative interjection  $m\acute{a}a \sim m\acute{a}$ ? 'no', in disjunctive function  $m\acute{a}a$  marks a polar (closed) alternation between two coordinated interrogative clauses (2008: 789). The two functions are synchronically distinct (2008: 312).

```
rəkên jâarə dɨmá (...) rənêk jaarə dɨi.
[rá-kèn-jàa-rá dɨi]=máa [rá-nèk-jàa-rá dɨi]
live/exist-good/east-more-IRR WOND=DISJ live/exist-bad-more-IRR WOND
'Will (life in the future) be better or (...) will it be worse?'
```

## Negation > disjunction

(19) Nakanai, (Austronesian, Eastern Malayo-Polynesian, Oceanic, Johnston 1980: 239)

'The disjunct coordinator is (ou)ka 'or' (literally 'no'). It indicates the option of a negative conditional presuposition Possibly X; NO, then Y. In its connective function, it most often appears shortened to ka and is developing the functional and distributional character of a conjunction.'

- a) Egite la ilali ouka.
  they NM food
  'They had no food. '
- b) Eme masaga ale nabatu, **ka** (eme masaga) ale nabauan?
  You.sg like that number.two or you.sg like that number.one
  - 'Do you like the second or the first one?
- c) Egite vei-a ge va-ubibi le amutou ka ouka?
  they say-3ps IRR REC-shoot ABL you.pl or no
  'Did they intend to fight against you, or not?'

## Polar question > disjunction

#### (20) Polish

the interrogative marker czy was originally the instrumental form of Common Slavic \*ch'to 'what' > Cz. Pol. czy, Bel. ci

- a. **Czy** pan dużo podróżuje? Q you much travel 'Do you travel a lot?'
- b. *Idziemy jutro do szkoły czy zostajemy w domu?* go.PRS.1pl tomorrow to school ALTNc stay.PRS.1pl at home 'Do we go to school tomorrow or do we stay at home?'

## Polar question > disjunction

21) Golin (Trans-New Guinea, Chimbu, Evans 2005: 127, 48)

In single-clause polar questions such as (c), a second clause is absent, though probably implied.

- a. Dibe kare-ne-ra-bin mo bisnis ere ne-ra-bin mo gaan boat see-eat-IRR-IPL DISJ business (TP) do eat-IRR-1PL DISJ child sule di-ra-n-g-w-a school (TP) be-IRR-3-AS-3-DIST '(We) are wasting our time buying cars or making business or (sending) our kids to school...'
- b. *u-ra-n-mo u-k-ra-n?* come-IRR-2-**PQ** come-NEG-IRR-2 'Are you going to come or not?'
- c. i nibil pa-n-mo?2SG sickness be-2-PQ'Do you have a disease?'

choice-aimed alternative > polar question marker (Heine & Kuteva 2002: 226-227)

#### Other sources

#### ✓ Free choice verbs

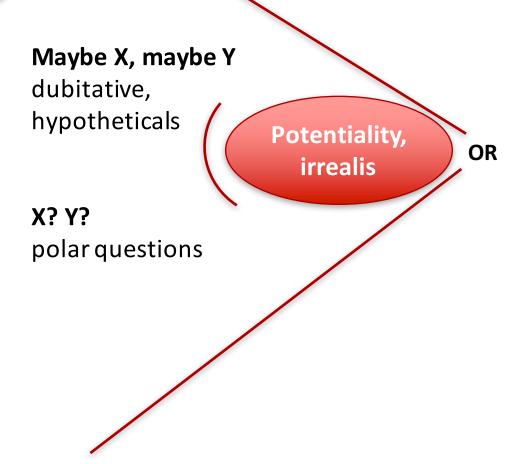
Lat. vel 'want' > 'simple or', Fr. soit...soit 'be it' > 'either ...or'

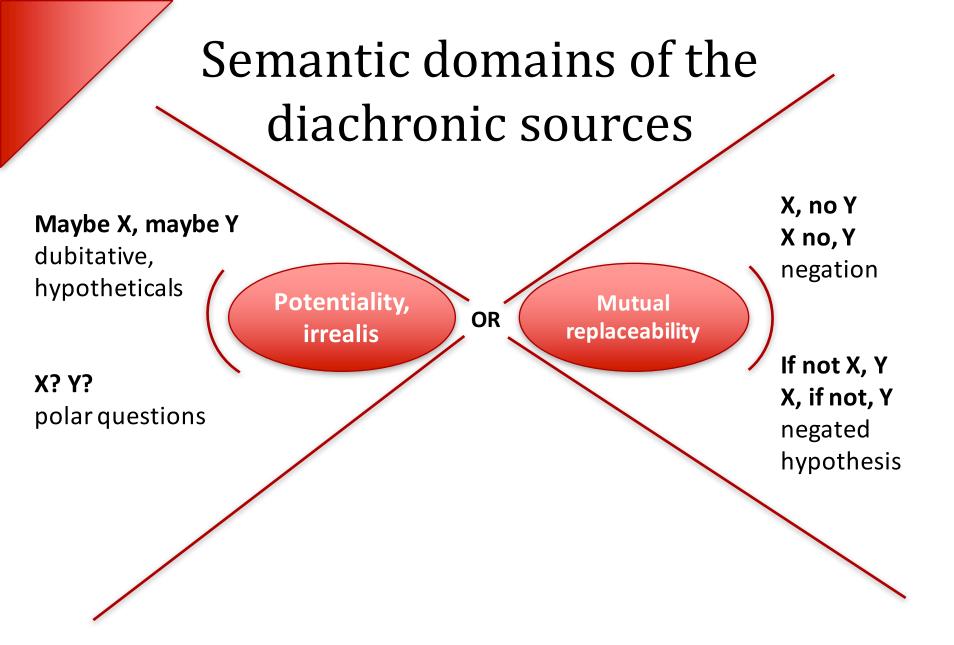
#### ✓ Distal 'that/other'

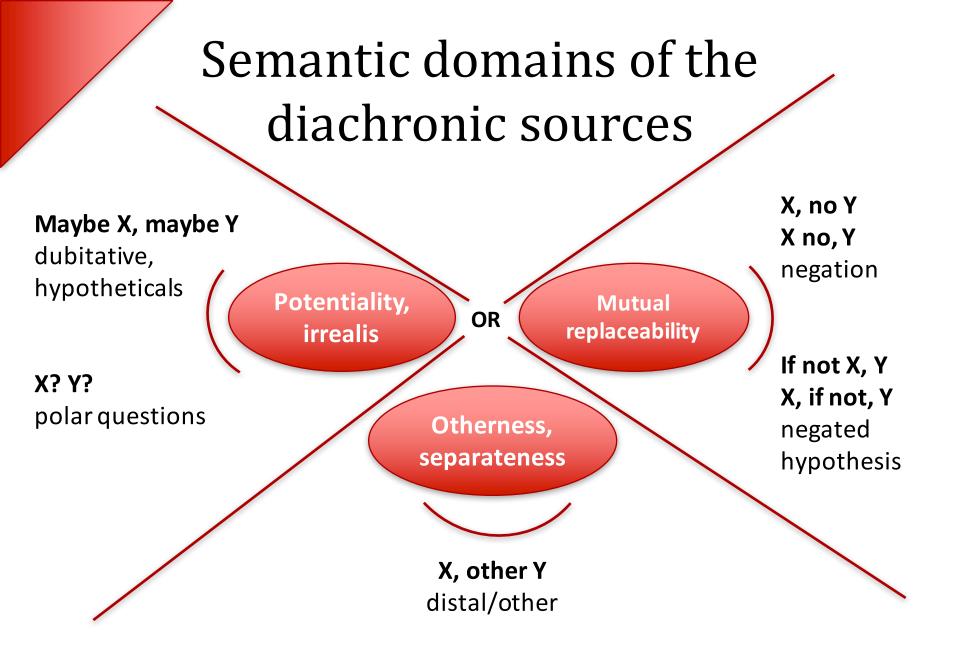
Dan. Nor. Swe. eller 'or' < Proto-Germanic \*alja-, \*aljis- 'other' (Falk and Torp 1910: 187); I.E. \*au- 'other, that' > Lat. aut (\*auti) 'or', autem 'but' > It. Sp. Cat. o, Fr. Port. ou;

## Semantic domains of the diachronic sources

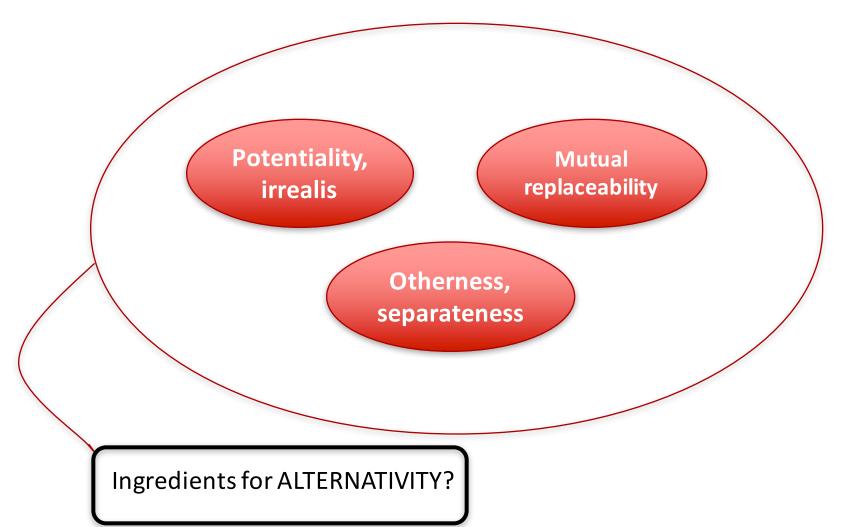
## Semantic domains of the diachronic sources







## Semantic components of the notion of alternative



Disjunction Days - Berlin, 2-3 June 2016

What may cross-linguistic variation tell us?

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1. Is alternative itself a basic notion that languages always express overtly?

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NO

Strategies employed when an OR connective is lacking

Potentiality, irrealis



#### What may cross-linguistic variation tell us?

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#### NO

2. The exclusive vs. inclusive dichotomy does not account for the attested variation. Are there other semantic distinctions to which the expression of alternative is sensitive?

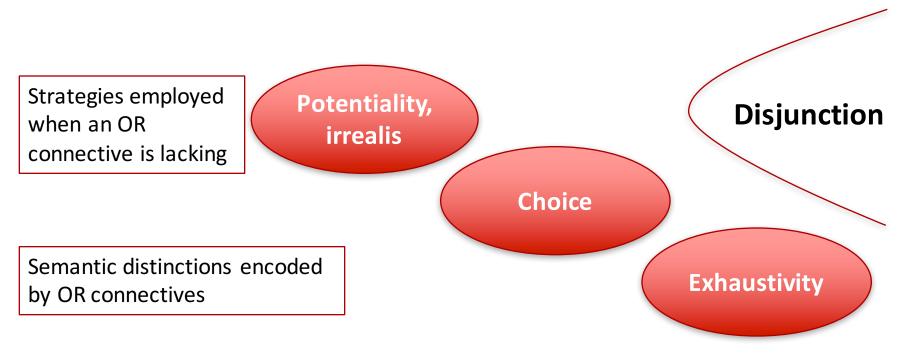
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**YES** 

3. Is there a closed set of recurrent diachronic sources for disjunction?

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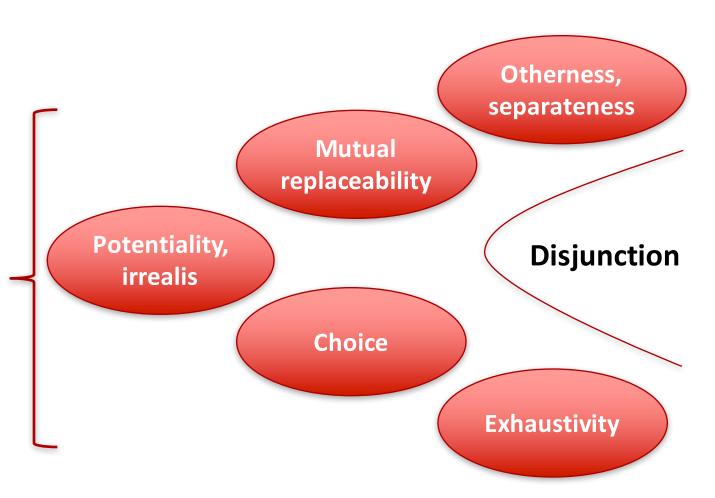
**YES** 

3. Is there a closed set of recurrent diachronic sources for disjunction?

YES

Diachronic sources Otherness, for OR connectives separateness Mutual replaceability Strategies employed when an OR Potentiality, Disjunction connective is lacking irrealis Choice Semantic distinctions encoded **Exhaustivity** by OR connectives

Functional dimensions to which speakers are sensitive in verbalizing the notion of alternative



## Prospects for future research

> Towards a converging, integrated evidence

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√ Corpus-based evidence

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Towards a converging, integrated evidence

#### ✓ Corpus-based evidence

Do the functional domains identified play a role in constraining the use of disjunction in discourse?

#### √ Psycholinguistic evidence

Do the functional domains identified play a role in the processing and acquisition of disjunction?

## Thank you!

## Abbreviations

FUT=future;	PERMISS=permissive;
GEN=genitive;	PL=plural;
HDST= hyperdistal;	POL=polite;
IND=individuator;	PRS= present;
INT= interrogative;	PST=past;
INFR=inferential	TOP=topic;
evidential;	Q=question;
IRR=irrealis;	QUOT=quotative;
LOC=locative;	REC=reciprocal;
M=masculine;	REP=reportative;
NEG= negative;	SBJ=subject;
NM=noun marker;	SG=singular;
NOM=nominative;	SLEV= same topographic
NPF=(dummy) noun prefix;	level;
NPST=nonpast;	WOND=wonder;
pc=past completive;	
PERL=perlative;	
	GEN=genitive; HDST= hyperdistal; IND=individuator; INT= interrogative; INFR=inferential         evidential; IRR=irrealis; LOC=locative; M=masculine; NEG= negative; NM=noun marker; NOM=nominative; NPF=(dummy) noun prefix; NPST=nonpast; pc=past completive;

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